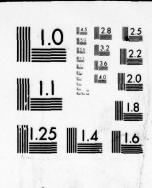


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14. MONITORING AGENCY NAME & ADDRESS(II ditterent from Controlling Office) 15. SECURITY CLASS. (of this report) Department of the Army UNCLASSIFIED 26 Federal Plaza/ New York District, Coff 154. DECLASSIFICATION/DOWNGRADING SCHEDULE New York, New York 10007 16. DISTRIBUTION STATEMENT (of this Report) Approved for public release; Distribution unlimited. 17. DISTRIBUTION STATEMENT National Dam Safety Program. Batavia Kill Watershed Project Dam Number 4A (Inventory Number N.Y.-570), Mohawk River Basin, Greene County, New York. Phase T Inspection Report 19. KEY WORDS (Continue on reverse side if necessary and identify by block number) COPY Batavia Kill Watershed Dam Safety Greene County National Dam Safety Program Batavia Kill Visual Inspection Hydrology, Structural Stability 20 ABSTRACT (Continue on reverse side if necessary and identity by block number) This report provides information and analysis on the physical condition of the dam as of the report date. Information and analysis are based on visual inspection of the dam by the performing organization. Batavia Kill Watershed Project Dam No. 4A was found to have no deficiencies which would render the dam unsafe

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### PREFACE

This report is prepared under guidance contained in the Recommended Guidelines for Safety Inspection of Dams, for Phase I Investigations. Copies of these guidelines may be obtained from the Office of Chief of Engineers, Washington, D.C. 20314. The purpose of a Phase I Investigation is to identify expeditiously those dams which may pose hazards to human life or property. The assessment of the general condition of the dam is based upon available data and visual inspections. Detailed investigation, and analyses involving topographic mapping, subsurface investigations, testing, and detailed computational evaluations are beyond the scope of a Phase I Investigation; however, the investigation is intended to identify any need for such studies.

In reviewing this report, it should be realized that the reported condition of the dam is based on observations of field conditions at the time of inspection along with data available to the inspection team. In cases where the reservoir was lowered or drained prior to inspection, such action, while improving the stability and safety of the dam, removes the normal load on the structure and may obscure certain conditions which might otherwise be detectable if inspected under the normal operating environment of the structure.

It is important to note that the condition of a dam depends on numerous and constantly changing internal and external conditions, and is evolutionary in nature. It would be incorrect to assume that the present condition of the dam will continue to represent the condition of the dam at some point in the future. Only through frequent inspections can unsafe conditions be detected and only through continued care and maintenance can these conditions be prevented or corrected.

Phase I inspections are not intended to provide detailed hydrologic and hydraulic analyses. In accordance with the established Guidelines, the Spillway Test flood is based on the estimated "Probably Maximum Flood" for the region (greatest reasonably possible storm runoff), or fractions thereof. Because of the magnitude and rarity of such a storm event, a finding that a spillway will not pass the test flood should not be interpreted as necessarily posing a highly inadequate condition. The test flood provides a measure of relative spillway capacity and serves as an aide in determining the need for more detailed hydrologic and hydraulic studies, considering the size of the dam, its general condition and the downstream damage potential.

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# PHASE 1 INSPECTION REPORT NATIONAL DAM SAFETY PROGRAM BATAVIA KILL WATERSHED PROJECT DAM No. 4A I.D. No. NY 570 (#191C-3681) MOHAWK RIVER BASIN GREENE COUNTY, NEW YORK

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## PHASE 1 REPORT NATIONAL DAM SAFETY PROCRAM

Name of Dam:

Batavia Kill Watershed

I.D. No. NY-570 (#191C-3681)

Project Dam No. 4A

72.1

State Located:

New York

County Located:

Greene

Watershed:

Mohawk River Basin

Stream:

Batavia Kill (a tributary to the

Schoharie Creek)

Date of Inspection:

November 21, 1978

### ASSESSMENT

The Batavia Kill Watershed Project Dam No. 4A is a floodwater retarding structure. Examination of available documents and a visual inspection of the dam did not reveal conditions which are considered to be unsafe.

The total discharge capability of the spillways is adequate for the Probable Maximum Flood (PMF).

To assure the continued satisfactory performance of this structure, a schedule of periodic maintenance should be established. Included in this schedule should be items such as mowing the grass on the embankment slopes and periodic operation and lubrication of the slide gate mechanism.

George Koch

Chief, Dam Safety Section New York State Department

of Environmental Conservation

NY License No. 45937

Approved By:

Col. Clark H. Benn

New York District Engineer

Date:

22 Jan 19



Overview - Downstream Face

Batavía Kill Watershed Project Dam No. 4A

PHASE 1 INSPECTION REPORT
NATIONAL DAM SAFETY PROGRAM
BATAVIA KILL WATERSHED PROJECT
DAM No. 4A
I.D. No. NY 570
(#191C-3681)
MOHAWK RIVER BASIN
GREENE COUNTY, NEW YORK

### SECTION 1: PROJECT INFORMATION

### 1.1 GENERAL

a. Authority

The Phase 1 Inspection reported herein was authorized by the Department of the Army, New York District, Corps of Engineers, to fulfill the requirements of the National Dam Inspection Act, Public Law 92-367.

b. Purpose of Inspection

This inspection was conducted to evaluate the existing conditions of the dam, to identify deficiencies and hazardous conditions, to determine if these deficiencies constitute hazards to life and property, and to recommend remedial measures where required.

### 1.2 DESCRIPTION OF PROJECT

a. Description of the Dam

The Batavia Kill Watershed Project (BKWP) Dam No. 4A consists of an earth dam with a principal spillway pipe passing through the embankment and an emergency spillway on either end of the dam.

The dam consists of a zoned compacted earth embankment which is 57 feet high, has a crest length of 1320 feet and a crest width of 18 feet. The upstream slope is 1 vertical on 3 horizontal and the downstream slope is 1 vertical on 2 1/2 horizontal. The crest and exposed slopes are grass covered. An earth cutoff trench of varying depth and width keys the embankment into the foundation soils.

The principal spillway consists of a rectangular reinforced concrete drop inlet structure, a 42 inch diameter reinforced concrete pressure pipe with anti-seepage collars and a plunge pool to dissipate energy at the outlet end of the conduit. A reservoir drain consisting of an 18 inch cast iron pipe extends from the upstream toe of the embankment to the base of the principal spillway riser. A vertical slide gate mechanism mounted along the inside of the riser controls the flow through the reservoir drain. The western emergency spillway is in a rock cut and has a base width of 55 feet. The eastern emergency spillway is 200 feet wide and is located in an earth cut.

An internal drainage system consisting of a gravel and sand filter with perforated 10 inch diameter corrugated metal collector pipes is located at the base of the embankment near the downstream toe. Seepage is collected and conducted through this drain and outleted into the plunge pool.

b. Location

BKWP Dam No. 4A is located on the Batavia Kill, a tributary to the Schoharie Creek, approximately 1.5 miles north of the Village of Windham along Siam Road. The dam is in the Town of Windham, New York.

### Size Classification

The dam is 57 feet high and is classified as an intermediate size dam (between 40 and 100 feet high).

### d. Hazard Classification

The dam is classified in the "high" hazard category due to the presence of seven homes immediately downstream of the dam and several hundred homes in the Village of Windham which are within 2 miles of the dam.

### e. Ownership

This dam is owned by the Batavia Kill Watershed District of Windham, New York.

### f. Purpose of Dam

The dam is a floodwater retarding structure.

### Design and Construction History

g. Design and Construction History
This dam was designed by the U.S. Department of Agriculture, Soil Conservation Service (SCS). Construction of the dam commenced in 1967 and was completed in 1970. The dam was built by Pin Oak Construction, Inc. The SCS office in Albany, has a design folder containing hydrologic, hydraulic and structural design information, in additionto the as-built contract plans and documents.

### h. Normal Operating Procedures

Water flows over the principal spillway. This structure has sufficient capacity to discharge a 100 year flood without flow occurring in the emergency spillways. For storms greater than the 100 year flood, flows will discharge throughthe two emergency spillways.

### 1.3 PERTINENT DATA

<u>a.</u>	Drainage Area (acres)	4352
ъ.	Discharge at Dam (cfs)	
	Principal Spillway @ Maximum High Water	272
	Principal Spillway @ Emergency Spillway Crest Elevation	240
	Emergency Spillways (Combined) @ Maximum High Water	29,988
	Reservoir Drain @ Principal Spillway Crest	32
	Elevation	
	Maximum Known Flood	196
c.	Elevation (USGS Datum)	
	Top of Dam	1757.4
	Emergency Spillway Crest (Aux. Spillways) West East	1745.20 1747.7
	Principal Spillway Crest (Service Spillway)	1713.00
	Invert of Reservoir Drain Inlet	1703.75
d.	Reservoir (Acres)	
	Surface Area at Top of Dam	137
	Surface Area at Crest of Emergency Spillway	98
	Surface Area at Crest of Principal Spillway	10

<u>e.</u>	Storage Capacity Top of Dam Emergency Spillwa Principal Spillwa	y Crest	2928 1509 43
f.	Embankment length Slopes (V: H) U	pstream ownstream	1320 1 on 3 1 on 2.5 1757.4
g.	Spillway Principal Spillwa Type:  Length (ft.) Weir Emergency Spillwa Type:	Uncontrolled, reinforced concrete drop inlet (3.5 x 10.5 ft.) risin 10 feet; 42 inch reinforced concrete pressure conduit 326 feet long; riprapped plunge pool.	26
	Bottom Width (ft.		55 200
	Side Slopes (V :	H) West East	1:3 1:2.5 & 1:3
	Length of level s	ection (in profile) (ft.)	
		West East	100 50
	Exit Slope (V : H	) West East	1:48 1:40
h.	Reservoir Drain Type:	18 inch diameter cast iron pipe with a reinforced concrete inlet.	
	Control:	Mechanically-operated vertical sl gate mounted along the inside of principal spillway riser.	

### SECTION 2: ENGINEERING DATA

### 2.1 DESIGN

a. Geology

BKWP Dam No. 4A is located in the "Appalachian Uplands" physiographic province of New York State. These uplands are the northern extreme of the Appalachian Plateau and were formed by dissection of the uplifted but flat-lying sandstones and shales of the Middle and Upper Devonian Catskill Delta (395 to 345 million years ago). Relief is high to moderate. Maximum dissection occurs in the Catskill Mountain area where only the mountain peaks approximate the original plateau surface. The present surficial soil deposits have resulted primarily from glaciations during the Cenozoic Era (most recent 65 million year period), the last of which was the Wisconsin glaciation approximately 11,000 years ago. These soils were deposited, in general, directly by glacial ice and are composed of unstratified rock fragments of all sizes ranging from boulders to clay particles.

b. Subsurface Investigations

A subsurface investigation program was conducted by the Soil Conservation Service in 1966. This program consisted of 35 drill holes and 36 test pits. Applicable subsurface information has been included in Appendix G.

In general, the surficial soils at the project site consist of a heterogeneous mixture of glacial tills. The soils in the floodplain are primarily silty gravels and silty sands. There are occasional boulders in this soil matrix and bedrock was encountered at an elevation of 1655 (approximately 50 feet below the surface). The soils on both banks, in the vicinity of the emergency spillways were predominantly the same as those in the floodplain. There were more boulders in this soil however, and bedrock was encountered at elevation 1760 in the explorations for the western emergency spillway.

c. Embankment

The dam was designed by the Soil Conservation Service, who prepared a design report. Twenty-five drawings, several of which have been included in Appendix G, were prepared for the construction of the dam.

2.2 CONSTRUCTION RECORDS

Complete as-built contract plans and documents are available from the SCS office in Albany. Selected sheets from these as-built plans have been included in the appendix of this report.

2.3 OPERATION RECORD

Since the dam is an uncontrolled, floodwater retarding structure, no operating records are maintained regarding water levels. However during periods of heavy rainfall, SCS personnel do monitor reservoir levels.

2.4 EVALUATION OF DATA

The data presented in this report has been compiled from information obtained from the Soil Conservation Service as well as the New York State Department of Environmental Conservation files. It appears to be adequate and reliable for the purpose of the Phase 1 inspection.

### SECTION 3: VISUAL INSPECTION

### 3.1 FINDINGS

### a. General

Visual inspection of the BKWP Dam No. 4A was conducted on November 21, 1978. It was snowing lightly during the inspection and the temperature was about 25° F. The water surface at the time of the inspection was several inches above the crest of the prinicpal spillway. There was a slight flow from the principal spillway pipe spilling into the plunge pool.

### b. Embankment

The earth embankment showed no signs of distress. The vertical and horizontal alignment of the crest appears to be as it was constructed with no visible surface cracks appearing on the crest or embankment slopes. There were no areas of sloughing or subsidence noted.

Inspection of the downstream face did not reveal any signs of seepage. At the time of the inspection, there was no discharge from the collection pipes of the internal drainage system. No undesirable vegetative growth or animal penetrations into the slopes were observed.

### c. Principal Spillway

The principal spillway pipe, the riser and the plunge pool were all in satisfactory condition.

### d. Emergency Spillway

The two emergency spillways were in satisfactory condition. One spillway is in a rock cut, but has a grassed bottom. The other spillway is in an earth cut.

### e. Downstream Channel

The outlet channel was in satisfactory condition, with no severe side slope erosion or debris obstructions in evidence. The section of channel nearest the plunge pool had been overexcavated and backfilled with rock. During periods of low flow in the channel, this results in the water disappearing in this section and re-appearing further downstream.

### f. Reservoir

There were no signs of soil instability in the reservoir area.

### 3.2 EVALUATION OF OBSERVATIONS

Visual observations did not reveal any problems which would adversely affect the safety of the dam.

### SECTION 4: OPERATION AND MAINTENANCE PROCEDURES

4.1 PROCEDURES

The normal water surface elevation is at the crest of the principal spillway. Downstream flows are limited by the capacity of the 42 inch diameter reinforced concrete pipe. The reservoir provides 1466 acre-feet of storage between the crest of the principal spillway and the crest of the emergency spillway.

- 4.2 MAINTENANCE OF DAM

  The dam is maintained in satisfactory condition by the owner.
- 4.3 WARNING SYSTEM IN EFFECT
  No apparent warning system is in effect.
- 4.4 EVALUATION The dam is satisfactorily maintained.

### SECTION 5: HYDROLOGIC/HYDRAULIC

5.1 DRAINAGE AREA CHARACTERISTICS

Delineation of the watershed draining into the reservoir pool area was made using the USGS 7.5 minute quadrangles for Hensonville, Livinstonville, Ashland and Durham, N.Y. The watershed consists of open grassed fields and woodlands situated in a rural area. Relief ranges from moderate to steep with the steeper slopes occurring in the upper reaches of the watershed. The shape of the watershed is generally rectangular with the dam located on the short dimension of the rectangle.

5.2 ANALYSIS CRITERIA

The analysis of the floodwater retarding capability of this dam was performed using the Corps of Engineer's HEC-1 (Dam Break version) computer program, incorporating the "Snyder Synthetic Unit Hydrograph" method and the "Modified Puls" flood routing procedure. The spillway design flood selected for analysis was the PMF in accordance with recommended guidelines of the U.S. Army Corps of Engineers.

5.3 SPILLWAY CAPACITY

The principal and emergency spillways are uncontrolled structures. The principal spillway operates under weir or orifice flow conditions depending on the floodwater inflow to the reservoir pool. During orifice flow operation, pressure flow develops in the 42 inch conduit. The emergency spillway was analyzed as a broad-crested weir having a discharge coefficient (C) of 3.087.

The spillways have sufficient storage capacity for discharging the peak outflow from the PMF. For this storm, the peak inflow is 12,054 cfs and the peak outflow is 11,529cfs. When the spillways are discharging the peak outflow, the water surface will be 5' feet below the top of the dam.

5.4 RESERVOIR CAPACITY

Normal flood control storage capacity of the reservoir between the principal and the emergency spillways is 1466 acre-feet which is equivalent to a runoff depth of 4 inches over the drainage area. Surcharge storage capacity to the maximum high water elevation is an additional 1420 acrefeet; equivalent to a runoff depth over the drainage area of 4 inches. Total storage capacity of the dam is 2928 acre-feet; equivalent to 8 inches of direct runoff.

5.5 FLOODS OF RECORD

The maximum known flood occurred in 1972 during Hurricane Agnes. The pool level at this time was reported to be about 29 feet above the principal spillway crest. The calculated discharge for this flood is as follows:

Elevation (ft)

Discharge (cfs)

1742

196

5.6 OVERTOPPING POTENTIAL

Analysis indicates the total discharge capacity is sufficient to prevent overtopping from the PMF.

### 5.7

EVALUATION

This dam has sufficient capability to impound and adequately discharge floodwaters expected to result from the PMF.

### SECTION 6: STRUCTURAL STABILITY

### 6.1 EVALUATION OF STRUCTURAL STABILITY

### a. Visual Observations

No signs of major distress of the dam were observed during the inspection.

b. Design and Construction Data

Design data was obtained from the Soil Conservation Service Office in Albany. Stability analyses were performed by SCS using a Swedish circle method of analysis. Various conditions were analyzed during the design process. The conditions applicable to the dam as it was constructed are as follows:

### MINIMUM FACTOR OF SAFETY

CONDITION	UPSTREAM SLOPE	DOWNSTREAM SLOPE
Full Drawdown	1.55	
Long Term-Steady State Seepage	_	1.80

The calculated factors of safety for this dam are in excess of the minimum factors in the Corps of Engineers recommended guidelines. The dam is therefore considered to have an adequate factor of safety for stability.

A summary of the analyses and sections showing the failure arcs are included in Appendix E.

Based on discussions with SCS representatives, the dam was built essentially according to the plans.

### c. Post Construction Changes

The SCS representatives were not aware of any changes which have been made on the dam.

### d. Seismic Stability

The dam is located near the boundary between seismic zones No. 1 and 2. Therefore, a seismic stability analysis is not warranted.

### SECTION 7: ASSESSMENT/RECOMMENDATIONS

### 7.1 ASSESSMENT

a. Safety

The Phase I inspection of the BKWP Dam No. 4A did not reveal conditions which constitute a hazard to human life or property. The earth embankment is considered to be stable structurally, and capable of safely retarding floodwaters resulting from the PMF.

The design of this dam includes an internal drainage system to control the phreatic surface and to provide a safe outlet for foundation seepage.

b. Adequacy of Information

Information concerning the design and performance of this dam is considered adequate for the purposes required for Phase 1 Inspection Reports.

c. Need for Additional Investigations
No additional investigations are necessary at this time.

### 7.2 RECOMMENDED MEASURES

The following tasks should be undertaken by maintenance forces:

- a. Continued periodic operation and lubrication of the mechanically operated slide gate mechanism to insure the ease of operation of the reservoir drain conduit.
- b. Establishing a schedule for periodic maintenance which would include items such as mowing the grass on theembankment and in the emergency spillways.

APPENDIX A

PHOTOGRAPHS



Upstream Face of Dam-Looking East



Downstream Face of Dam-Looking East



West Emergency Spillway-Rock Cut-Looking Downstream



East Emergency Spillway-Looking Downstream



Principal Spillway Inlet-Riser



Principal Spillway Outlet Pipe and Plunge Pool Looking Upstream



Principal Spillway Outlet Pipe and Plunge Pool Looking Downstream

### APPENDIX B

ENGINEERING DATA CHECKLIST

Check List Engineering Data Design Construction Operation

Name of Dam BKWP SITE 4A

I.D. # N.Y. 570

(1816- 3681)	

Item		Remarks	
	Plans	Details	Typical Sections
Даш	Yes	Yes	755
Spillway(s)	76.5	/es	
Outlet(s)	۲۶۶	ر د د د	765
Design Reports	Yes		
Design Computations Discharge Rating Curves Dam Stability Seepage Studies	الر الر الله الر الله الله الله الله الل		
Subsurface and Materials Investigations	Yes		

Remarks Item

Construction History

INFORMATION FROM PROJECT FILES
AVALLABLE

Surveys, Modifications, Post-Construction Engineering Studies and Reports

NONE REPORTED

Accidents or Failure of Dam Description, Reports

NONE REPORTED

Operation and Maintenance Records Operation Manual

NONE

### APPENDIX C

VISUAL INSPECTION CHECKLIST

## VISUAL INSPECTION CHECKLIST

1)	Bas	ic Data	
	a.	General .	(3)
		Name of Dam BATAVIA KILL WATERSHED PROJECT NO.4 A	
		1.D. # N.Y. 570 (*1910-3681)	
		Location: Town WINDHAM County GREENE	
		Stream Name BATAVIA KILL .	
		Tributary of SCHCHARIE CREEK	
		Longitude (W), Latitude (N) 274° 14.8′ N42° 19.9′	
		Hazard Category	
		Date(s) of Inspection 11/21/78	
		Weather Conditions SNOWY Z5°	
	ь.	Inspection Personnel ROBIN WARRENDER WALTER LYNICK	
	c.	Persons Contacted EOBLACKMER - SCS-ALBANY	
	d.	History:	
		Date Constructed 1970	
		Owner BATAVIA KILL WATER SHED DISTRICT	
		Designer SCS	
		Constructed by PIN OAK CONSTRUCTION, INC.	8
2)	Tec	chnical Data	Ô
	Тур	pe of Dam EARTH	
		inage Area 4352 Acres	
		Ight Length	
	Ups	Stream Slope 10x3 Downstream Slope 10x2.5	

2)	Technical Data (Cont'd.)
	External Drains: on Downstream Face @ Downstream Toe
	Internal Components:
	*Impervious Core
	Drains INTERNAL DRAINAGE SYSTEM - 10" CMP PERFORATED
	Cutoff Type
	Grout Curtain

	Crest			
	(1)	Vertical Alignment SATISFACTORY		
	(2)	Horizontal Alignment SATISFACTORY		
	(3)	Surface Cracks Nove		
	(4)	Miscellaneous		
ь.	Slop	Des :		
		Undesirable Growth or Debris, Animal Burrows None		
	(2)	Sloughing, Subsidence or Depressions Rock Rifer on Upon		
	(3)	Slope Protection ROCK RIPRAP ON UPSTREAM SCOPE FO		
	(4)	Surface Cracks or Movement at Toe None		
		Seepage None - No Flow out of CMP DRAINS AT  Sides of Outlet Pipe		

(1)	Erosion at Embankment and Abutment Contact None
(2)	Seepage along Contact of Embankment and Abutment None
(3)	Seepage at toe or along downstream face None
Down	BERM AREA- SECTION ON EAST USED FOR ROCK SP
(1)	Subsidence, Depressions, etc. None
(2)	Seepage, unusual growth None
(3)	Evidence of surface movement beyond embankment toe
(4)	Miscellaneous
Drai	inage System

, .

STAN WORLD WITH THE WALK THE PARTY

(1)	Condition of relief wel	c					
(2)	Discharge from Drainage System						
			COLLECTED	IN INVERTS			
	(MINOR	L AMOUNT)					

(1)	Monumentation/Surveys None
(2)	Observation Wells NONE
(3)	Weirs NonE
(4)	Piezometers NonE
(5)	Other
	ervoir
a.	Slopes VERY FLAT IX BORROW AREA - PASTURE & BRUSHLA
	Sedimentation None

a.	General SATISFACTORY
ь.	Principle Spillway SATISFACTORY- LOG CAUGHT IN TRASH RACK
c.	Emergency or Auxiliary Spillway BOTTOMS NEED MOWING ON BOTH
d.	Condition of Tail race channel CONDUIT OUTLETS INTO THE PLUNGE POOL
	WHICH OUTLETS TO RIPRAP LINED CHANNEL. APPARENTLY THE
	THEN BACKFILLED WITH ROCK HENCE WATER SURFACE DISSAPPER
e.	IN THIS CHANNEL SECTION THEN REAPPEARS DOWNSTREAM & CONTINE FLOWING IN ROCK LINES CHANNEL. Stability of Channel side/slopes RIPRAP LINED - SATISFACTORY
٠.	Stability of chainer study stopes KIT KAT LINED SHITSPACION

	Condition (debris, etc.) SATISFACTORY
	Slopes SATISFACTORY
	Approximate number of homes 7 Homes /MMEDIATELY Do was
	THEN VILLAGE OF WINDHAM FURTHER DOWNSTREAM
is	cellaneous

- -

	Country Surface R 1 / 1 - 2 Samuel A/ Samuel
a.	Concrete Surfaces RISER INZET - SATISFACTORY NO SIGNS
	SPALLING OR CRACHING
	Structural Cracking None
٠.	oti detailer of deking
c.	Movement - Horizontal & Vertical Alignment (Settlement) NonE
	<del></del>
d.	Junctions with Abutments or Embankments SATISFACTORY
e.	Drains - Foundation, Joint, Face MINOR SEASMENTATION IN
	INVERT OF BOTH CMP OUTLETS
f.	Water passages, conduits, sluices
g.	Seepage or Leakage NONE

Joints - Construction, etc.
Foundation
Abutments
Control Gates RESERVOIR DRAIN LAST OPERATED JULY 1978
Approach & Outlet Channels
Energy Dissipators (plunge pool), etc.) RIPRAPPED TO ELEU. CROWN OF CONNUIT AROUND ENTIRE POOL.
Intake Structures
Stability

# APPENDIX D

HYDROLOGIC/HYDRAULIC

ENGINEERING DATA AND COMPUTATIONS

# CHECK LIST FOR DAMS HYDROLOGIC AND HYDRAULIC ENGINEERING DATA

# AREA-CAPACITY DATA:

	^	Elevation (ft.)	Surface Area (acres)	Storage Capacity (acre-ft.)
1)	Top of Dam	1757.4	137	2928
2)	Design High Water (Max. Design Pool)	1751,7	118	2202
3)	Auxiliary Spillway Crest	1745.2	98.0	1509
4)	Pool Level with Flashboards	N/A		Ł
5)	Service Spillway Crest	1713.0	10.0	42.5

# DISCHARGES

		Volume (cfs)
1)	Average Daily	N/A
2)	Spillway @ Maximum High Water	271
3)	Spillway @ Design High Water	257
4)	Spillway @ Auxiliary Spillway Crest Elevation	240
5)	Low Level Outlet	32
6)	Total (of all facilities) @ Maximum High Water	30260
7)	Maximum Known Flood	

CREST:	ELEVATION: 1757.4
Type: LEVEL GRA	ISSED EARTH
Width:18	Length:
Spillover N/A	
Location	
SPILLWAY:	
PRINCIPAL	EMERGENCY  WEST EAST
1713	Elevation 1745.2 \$ 1747.7
RC DROPINLET &	Type TRAPEZOIDAL CHANNELS
3.5 'x 10.5	Width 55' # 200'
	Type of Control
	Uncontrolled V
	Controlled:
	Туре
	(Flashboards; gate)
	Number
-	Size/Length
	Invert Material MOWED GRASS
	Anticipated Length of operating service <-   PER 100 YRS
42" DIA RC CONSUIT-32	Chute Length 600ff
SHARP CRESTED HE	& Approach Channel Invert BROAD CRESTED (Weir Flow)

OUTLET STRUCTURES/EMERGENCY DRAWDOWN FACILIT	IES: - RESERVOIR DRAIN
Type: Gate V Sluice	
Shape : GATE- FLAT CIRCULAR	CONDUIT ROUND CAST IRON
Size:	
Elevations: Entrance Invert 1703.75	5
Exit Invert	
Tailrace Channel: Elevation	
HYDROMETEROLOGICAL GAGES:	?
Type: NONE	
Location:	
Records:	
Date -	
Max. Reading -	
FLOOD WATER CONTROL SYSTEM:	
Warning System: None	
Method of Controlled Releases (mechanisms)  NONE Except For MANUAL	
DRAIN SLIDE GATE	TO LANGE WESTANDIA

INAGE AREA: 4352	
INAGE BASIN RUNOFF CHARACTERISTICS:	
Land Use - Type: PASTURE & WOODLANDS	
Terrain - Relief: MODERATE TO STEEP	
Surface - Soil: GLACIAL TILL	
Runoff Potential (existing or planned extensive alterations to e (surface or subsurface conditions)	existing
NONE	
Potential Sedimentation problem areas (natural or man-made; pres	sent or futu
Potential Backwater problem areas for levels at maximum storage including surcharge storage:	capacity
None	
Dikes - Floodwalls (overflow & non-overflow ) - Low reaches alon Reservoir perimeter:	ng the
Location: None	
Elevation:	
Reservoir:	
Length @ Maximum Pool	_ (Miles)
Length of Shoreline (@ Spillway Crest) N/A	(Miles)

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UN DATEO 04/13/79 TIMEO 22.03.35. BATAVIA KILL SITE 4A PAF WIFH RATIOS - AHALYSIS DATE

MULTI-PLAN ANALYSES TO BE PERFORMED "IPLAN" I NATIO" 2 LATIO" 1

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APPROXIMATE CLARK COEFFICIENTS FROM GIVEN SNYDER CP AND TP ARE TC\* 6.86 AND R\* 5.37 INTERVALS 462.

EXCS RAIN END-OF-PERIOD FLOW COMP OF RIDD COMP Q MO.OA HR.MN PERIOD 1135 EXCS MO.UA HR.MN PERIOD RAIN

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# APPENDIX E STABILITY COMPUTATIONS

3 -- W. S. Atkinson -- 3/9/67

Lorn P. Dunnigan

Subj: ENG 22-5, New York WP-08, Batavia Kill, Site No. 4A

Test series No. 2 was made on specimens that contained 35 percent gravel between the Number 4 and the 3/4 inch size. This test was made at 98 percent of Proctor density also (Re: compaction test No. 2A). The saturated shear strength values are  $\emptyset = 32^\circ$ , c = 175 psf for total stress and  $\emptyset = 38^\circ$ , c = 100 psf.for effective stress. These values are considered representative of the range of materials for compaction of 98 percent of Proctor on the minus 3/4 inch fraction (ASSM 10698 methods).

#### SLOPE STABILITY

3:1

The stability of the proposed 3 1/2:1 upstream and the 2 1/2:1 downstream slope was checked by a Swedish circle method of analyses. A phreatic line was assummed from emergency spillway elevation to a drain at c/b = 0.6. The analyses was based on the embankment only. The foundation was considered sufficiently strong to limit failure to the embankment.

A computer was used for the analyses. Each set of shear parameters was considered individually in the analyses and the factor of safety is reported on the attached slope stability summary. The low factors of safety obtained are Fs = 1.55 (Trial No. 2) for the upstream slope with full drawdown considered and Fs = 1.80 (Trial No. 4A) for the 2 1/2:1 downstream slope. These are above the suggested minimums.

#### SETTLEMENT STRAINS

The embankment will be constructed of low plasticity material that is subject to cracking at relatively low strain. It will be necessary to flatten longitudinal slopes such as channel banks, principal spillway trench, etc. to 3:1.

#### RECOMMENDATIONS

A. Site Preparation: Longitudinal slopes should be no steeper than 3:1 to reduce the possibility of differential settlement cracks.

The floodplain debris (tree stumps, etc.) referred to in the geology report should be stripped from the foundation.

The geology report also calls attention to the large boulders on the left abutment that may require removal.

B. Cutoff: We recommend that the cutoff trench bottom below the zone of alluvial gravel (GW and GP) that occurs primarily above elevation 1690. This will require trench depths ranging from about 4 feet in the channel are to 15 or 20 feet at the edges of the floodplain.

Form SCS 357 Rev. 6-65 SUMMARY - SLOPE STABILITY ANALYSIS MATERIALS U. S. DEPARTMENT of AGRICULTURE TESTING REPORT SOIL CONSERVATION SERVICE DATE METHOD OF ANALYSIS LPD 1.95 1.7.4 80 1.57 7.55 17. 1.6 17.7 4 REMARKS Thra Emb (38: 100) only he out from oga shouter then Emb (335,250) on to 115 67.0 400 Fulldrawsom- 10 Form Octor 17120 - Arc cut in Same slope @ Sh. 8130 28.0 2.9.2 73.0 ( deg.) 32.0 7sub ( pcf ) 1460 Ysol ( pcl ) CONDITIONS 33.5250) 37. 175 1220 07.7 1425 142.5 Ym (pcf) hr out 26.50-350 5/11-28 @ cley. 3.8 35.0 8.627 (pcf) 135.0 1200 1.51 CLASSI-FICA-TION 350/only MATERIALS 338 Fact Daria @ 0.16 = 0.4 0543 Full-transform (38: 100) OF USE SOURCE AND

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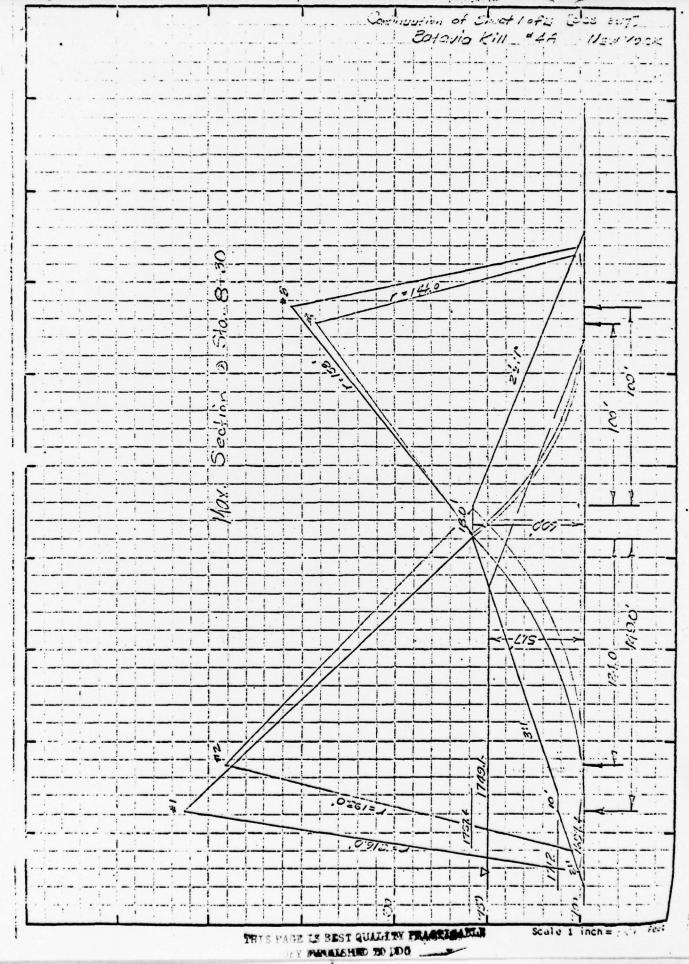
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APPENDIX F

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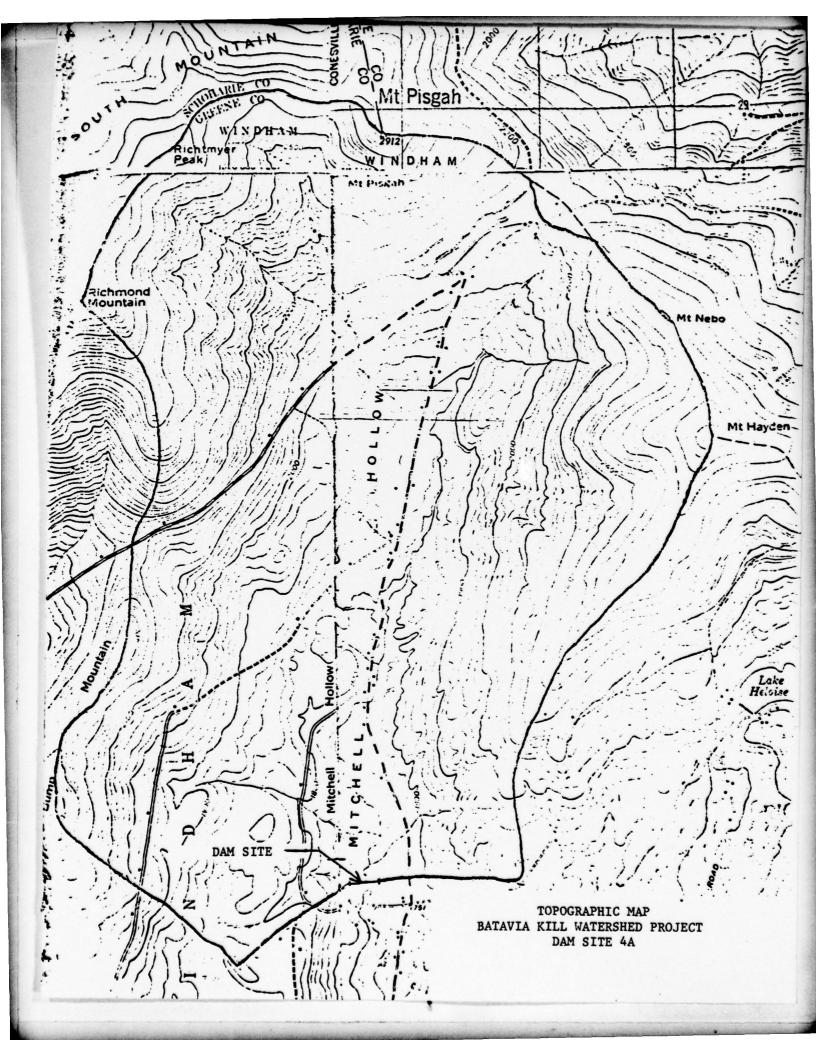
#### APPENDIX F

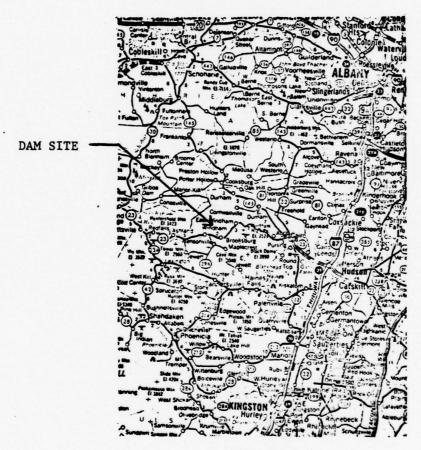
#### REFERENCES

- 1) U.S. Department of Commerce, <u>Technical Paper No. 40</u>, Rainfall Frequency Atlas of the United States, May 1961.
- 2) H.W. King and E.F. Brater, Handbook of Hydraulics, 5th edition, McGraw-Hill, 1963.
- 3) University of the State of New York, Geology of New York, Education Leaflet 20, Reprinted 1973.
- 4) Elwyn E. Seelye, Design, 3rd edition, John Wiley and Sons, Inc., 1960

APPENDIX G

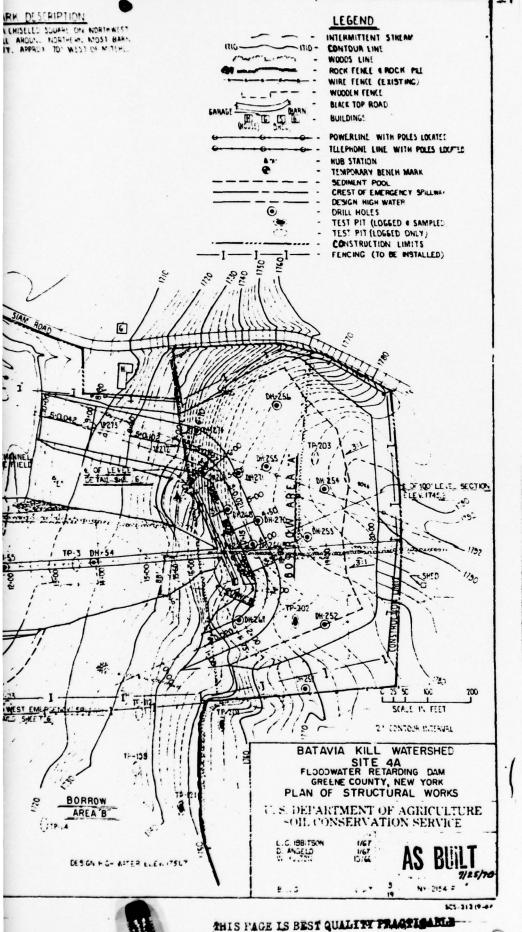
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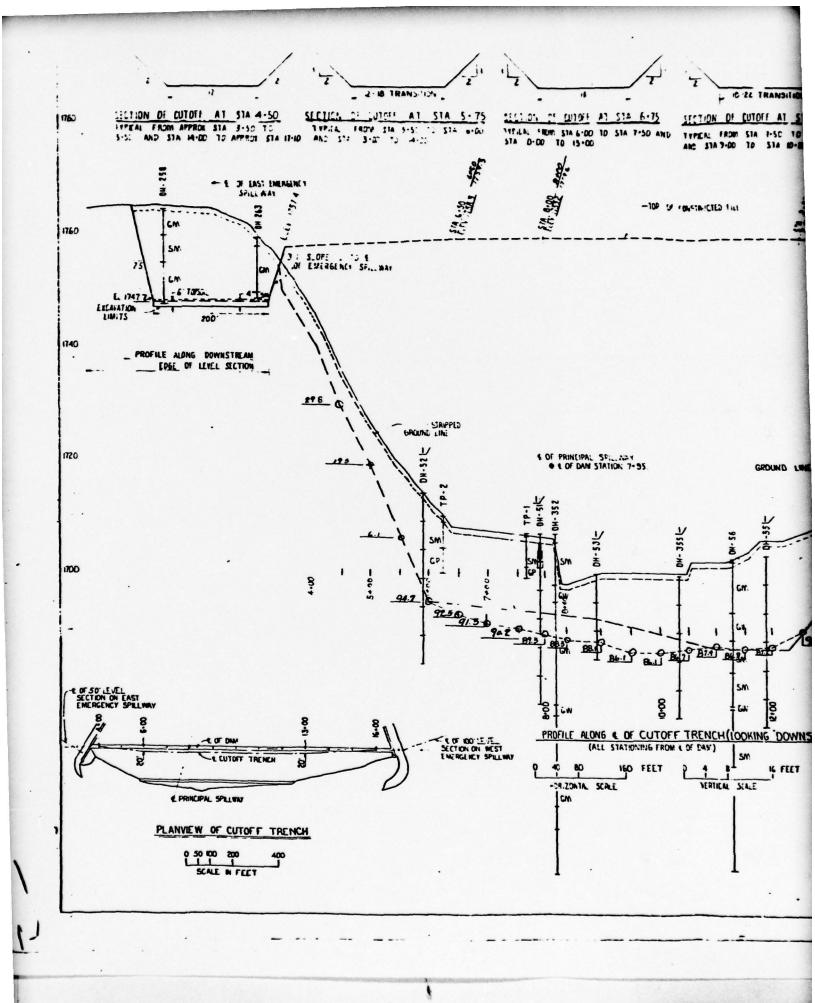


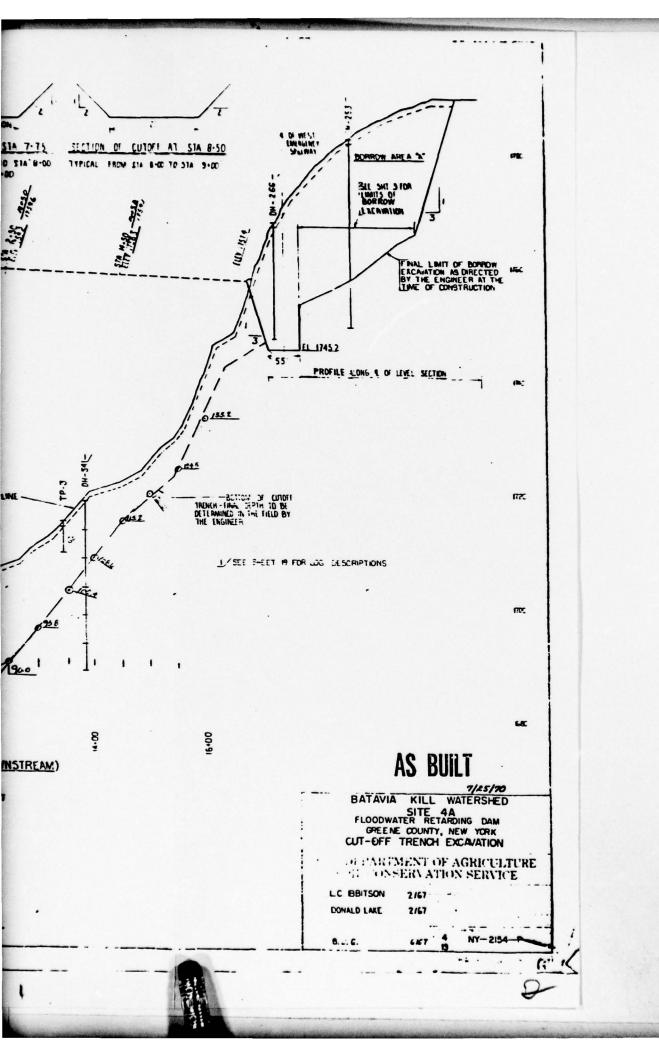
VICINITY MAP
BATAVIA KILL WATERSHED PROJECT
DAM SITE 4A

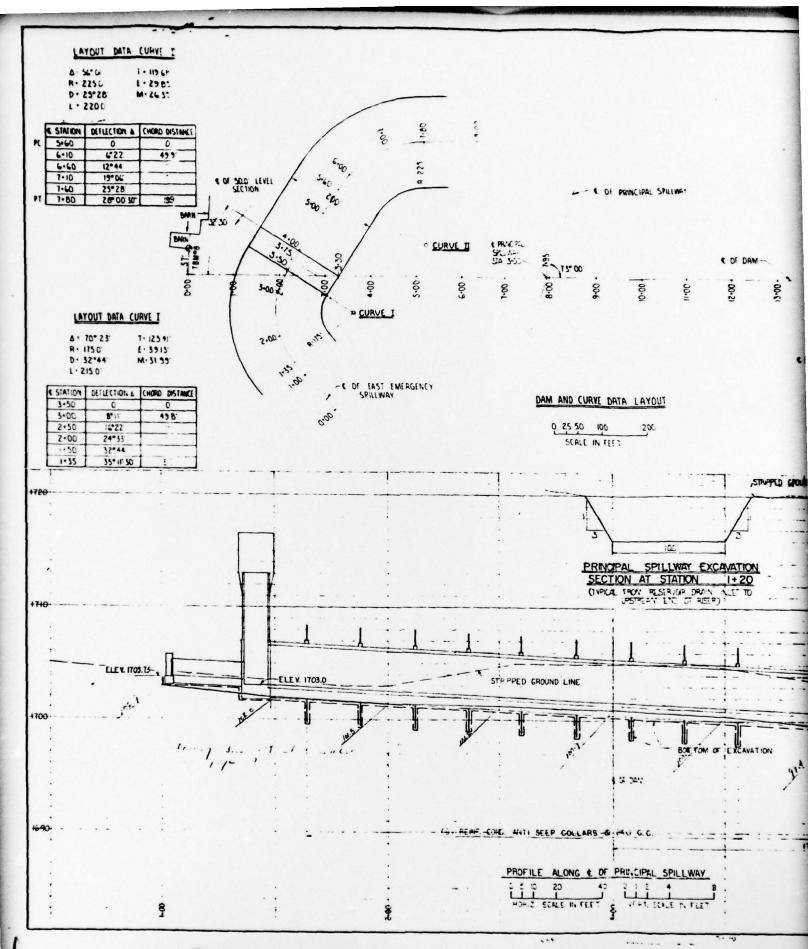
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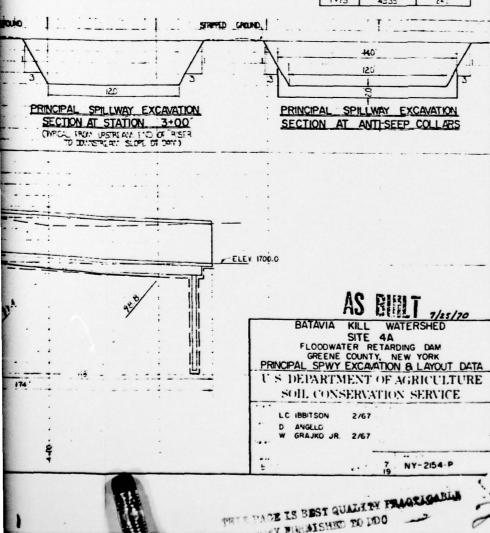
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- 2/ MAXIMUM LIFT THICKNESS PRIOR TO COMPACTION.
- 3/ WATER CONTENT AT TIME OF PLACEMENT COMPACTION
- 1/ FOR TYPICAL COMPACTION CURVES SEE SHT 19
- S/ SEE SHEET 9 FOR STATIONING OF ZONE 2 FILL
- E/ FILL ADJACENT TO STRUCTURE MANYUM SIZE ROCK 3- (HAND COMPACTED)

#### CONSTRUCTION DETAILS

- CONSTRUCTION DETAILS

  AND TO AND TO MATERIAL MITHIN THE

  MIT OF THE DAM ALL SERMOVEL (SPEC. 4C)

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  EXCHAPTE INTO MATERIAL TO MODER ZONE 2 FILL

  AND ROLE FILL (SEE SELLON DE DAM AT & STATION IC-0D)

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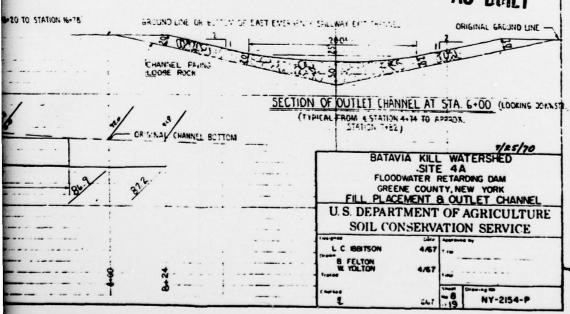
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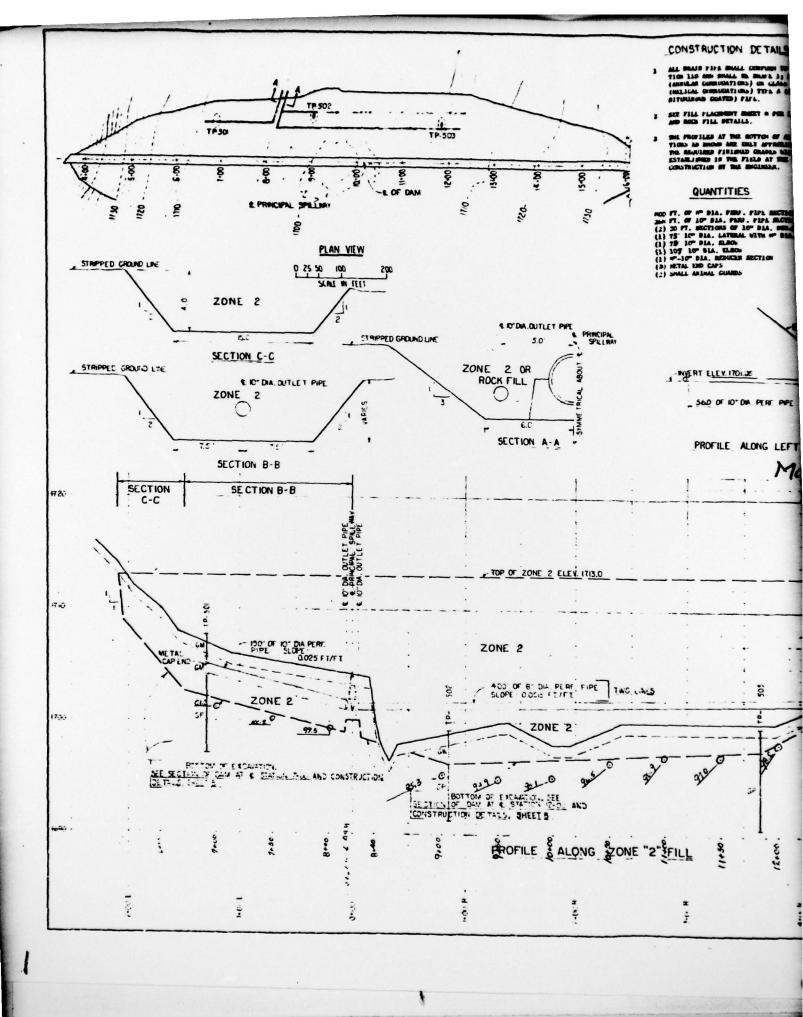
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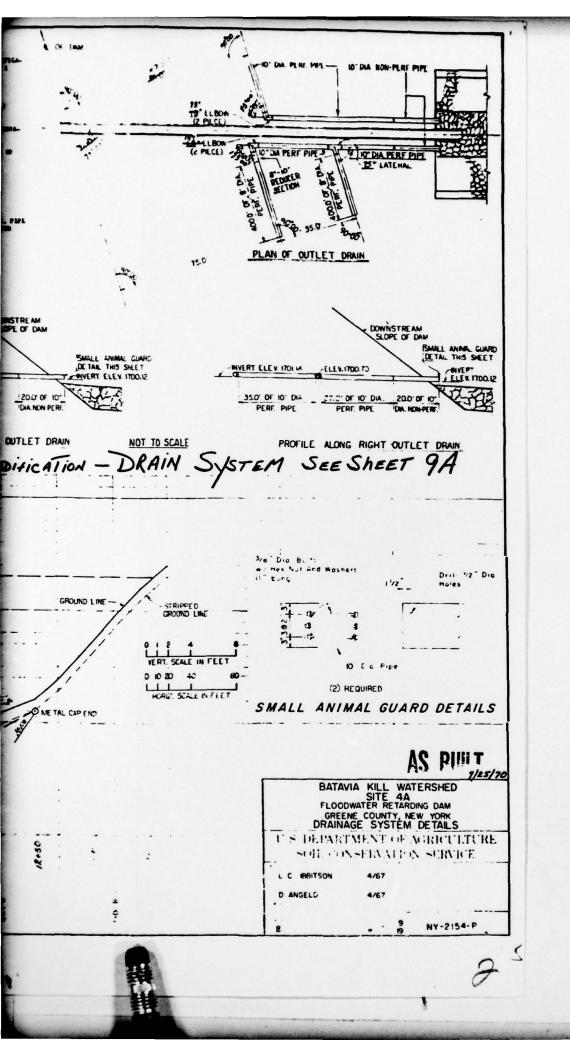
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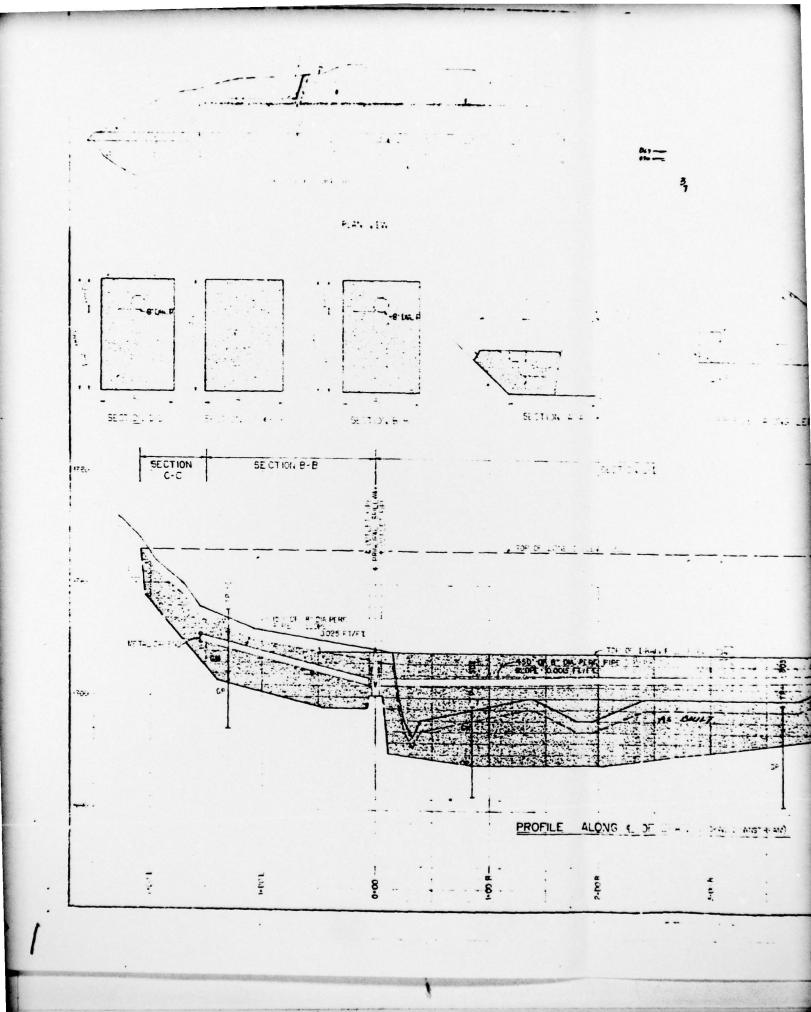
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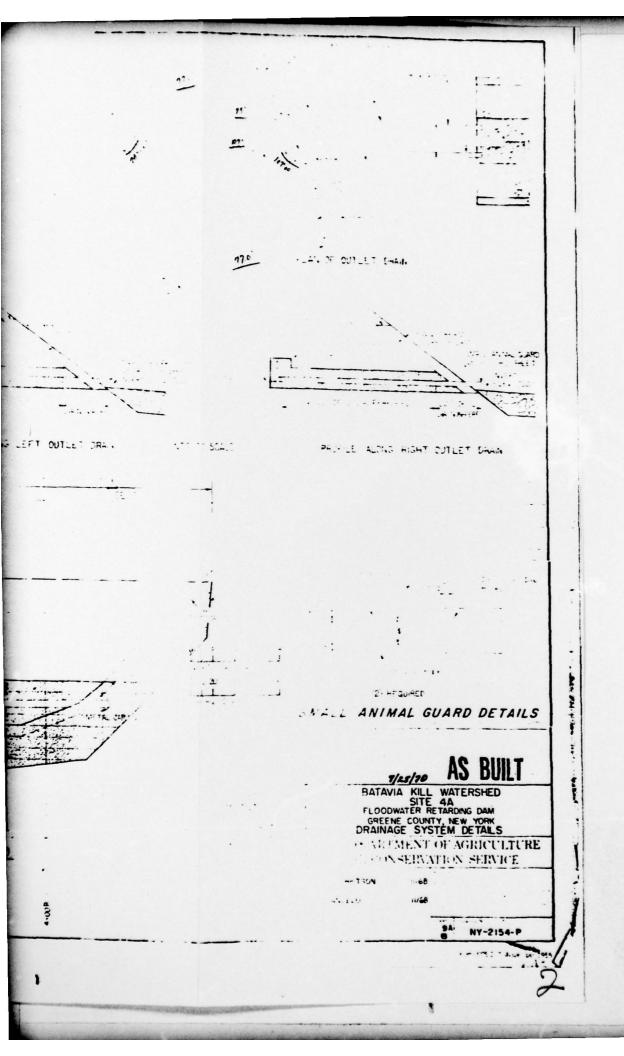


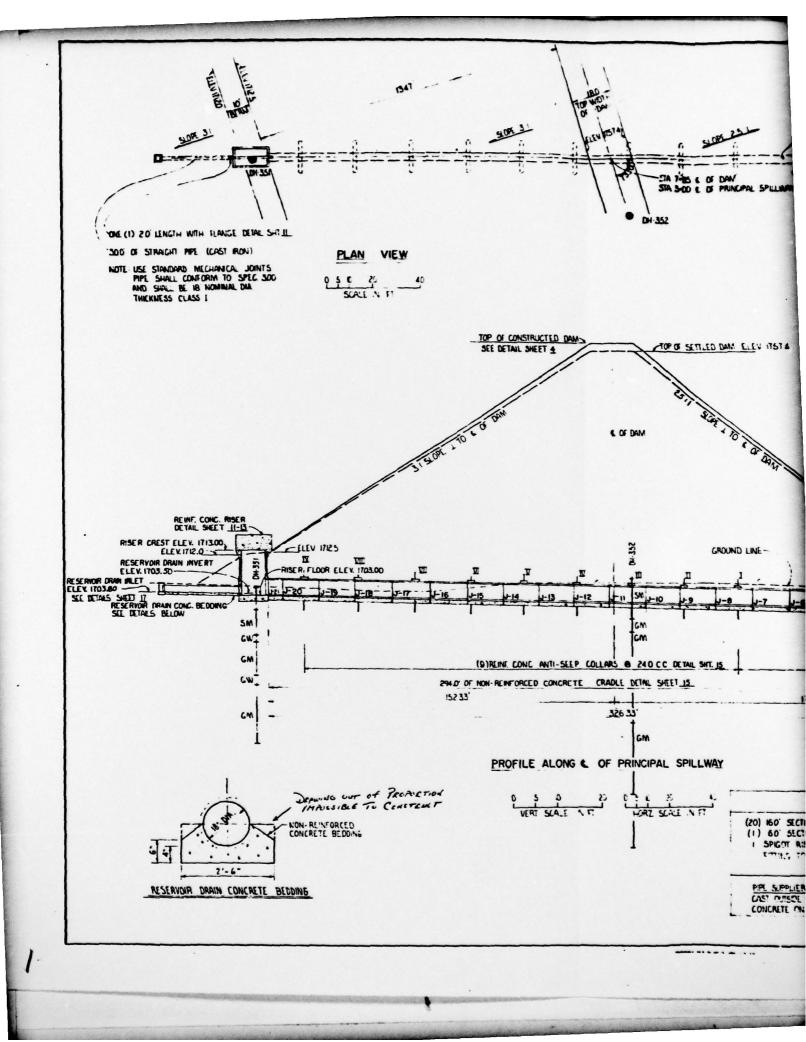
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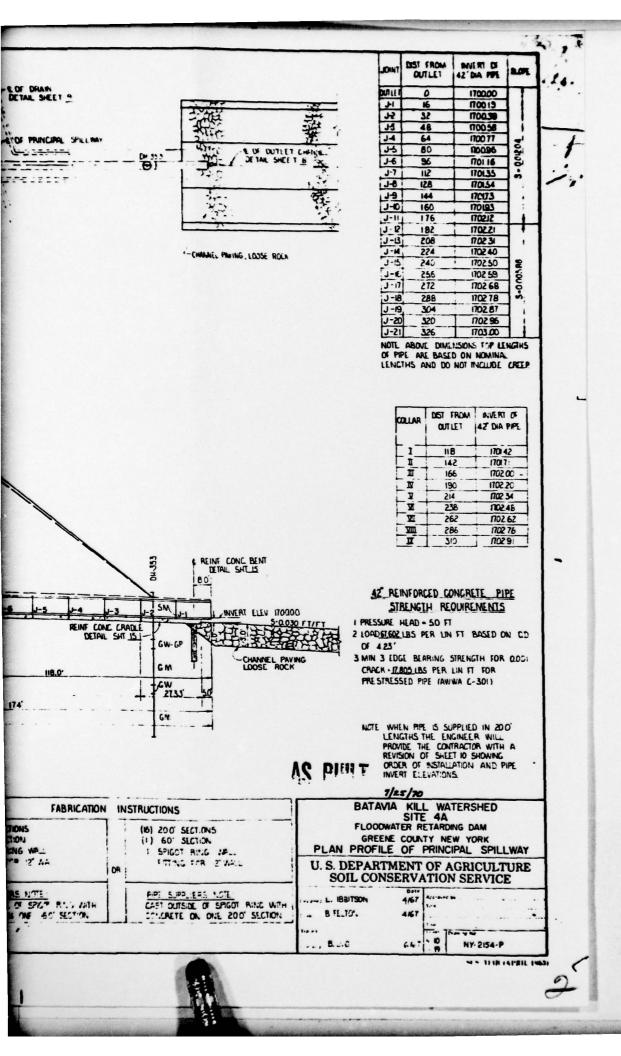


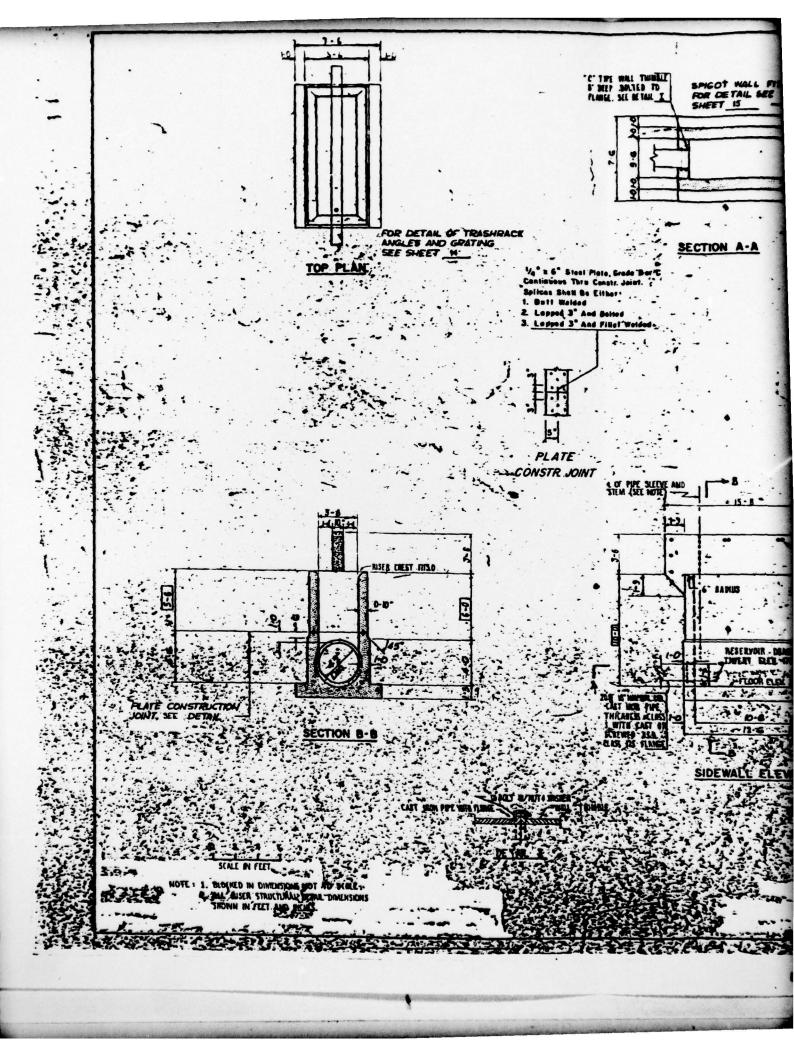


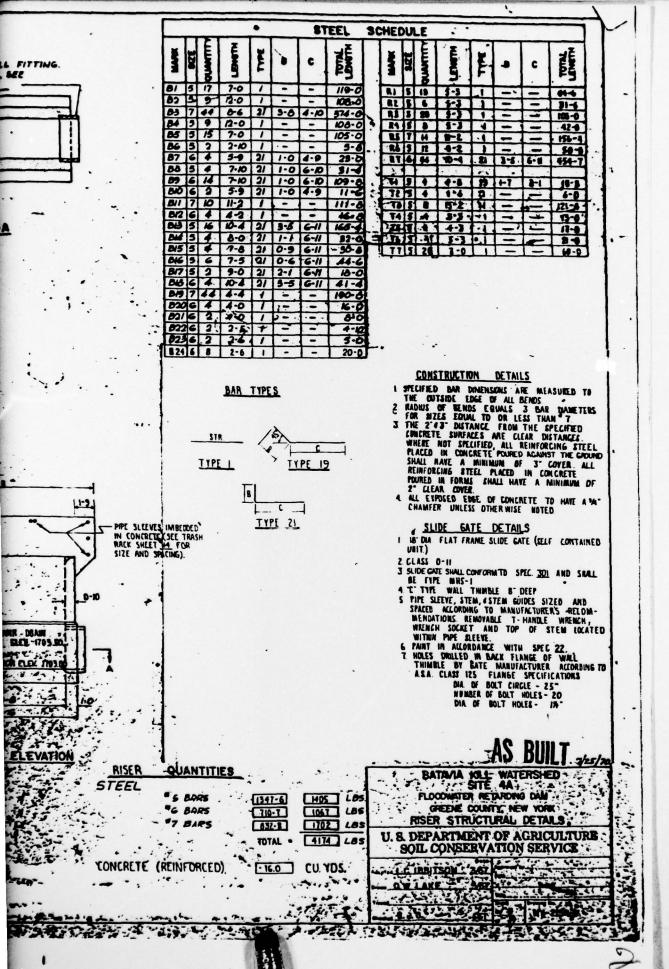


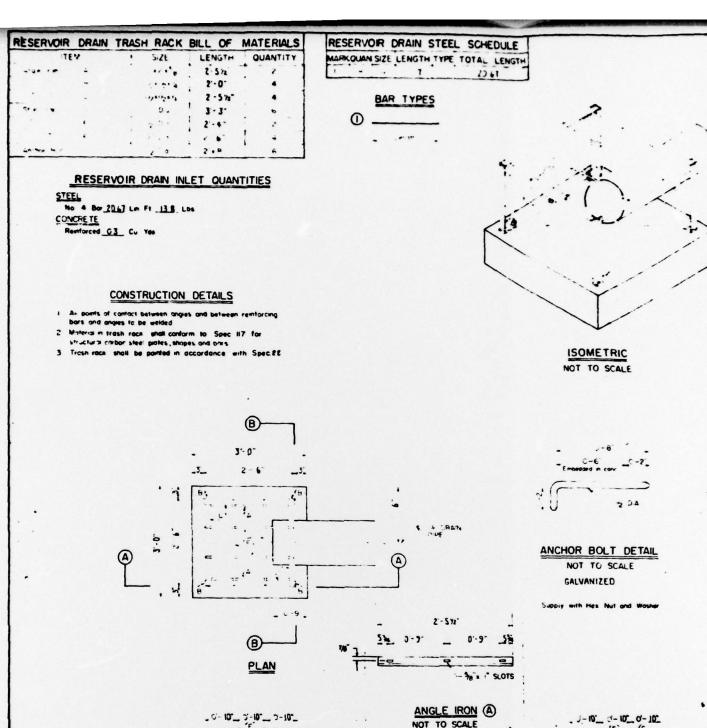


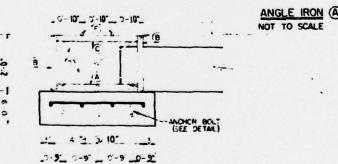












ANCHOR BOLT

(SEE DETAIL)

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SECTION A-A

SECTION B-B

BATAVIA KILL WATERSHED SITE 4A FLOODWATER RETARDING DAM GREENE COUNTY NEW YORK 1... RESERVOIR DRAIN & LOGS OF TEST HOLES U. S. DEPARTMENT OF AGRICULTURE SOIL CONSERVATION SERVICE In Proposed by RAY COPE 4/67 6167 17 NY-2154-P B. J. G.